



COPY OF PAPERS  
ORIGINALLY FILED

Extension 3713  
2 months  
#10 Response  
(NE)  
RECEIVED  
MAR - 1 2002  
TC 3100 MAIL ROOM

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Weiss, Steven A.

SERIAL NO.: 09/661,163

FILED: September 13, 2000

FOR: Gaming Device and Method

ART UNIT: 3713

EXAMINER: Nguyen, K.

Commissioner for Patent  
Washington, DC 20231

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

Responsive to the Notice of Non-Compliant Amendment mailed January 17, 2002, kindly enter the enclosed Marked-up Version of the Original Specification, into the above-identified case. Although the Patent Office acknowledged receipt of a complete filing and date stamped the return receipt card January 2, 2002 (attached hereto as Exhibit "A"), undersigned has attached a complete copy of the Amendment as filed on November 21, 2001 for your convenience.

It is believed that no fees are due with respect to the above-referenced Response to Notice of Non-Compliant Amendment. However, if additional fees still remain due, it is requested that such fees be charged to deposit account no. 11-1734, Attorney Docket No. 30083-pa.

Dated: February 4, 2002

Respectfully Submitted:

03/25/2002 EBENRY 00000002 111734 09661163

BERNARD KRETEN  
Applicant's Attorney  
Telephone (916) 921-6181  
Registration No.: 27,037



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20590  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
-----------------	-------------	----------------------	---------------------

EXAMINER
----------

ART UNIT	PAPER NUMBER
----------	--------------

DATE MAILED:

## Notice of Non-Compliant Amendment (37 CFR 1.121)

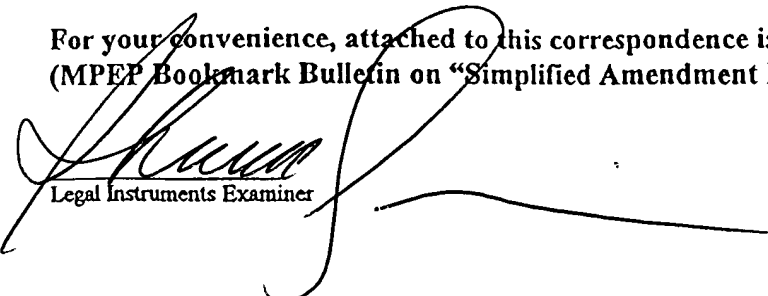
The amendment filed on 1-20-02 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

- ☐ 1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
- ☒ 2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii).
- ☐ 3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i).
- ☐ 4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii).
- ☐ 5. Other \_\_\_\_\_

☐ **PRELIMINARY AMENDMENT:** Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132; and this ONE MONTH time limit is not extendable.

☒ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

  
Legal Instruments Examiner



COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
HMR-1 2002  
103700 MAIL ROOM

**PLEASE ACKNOWLEDGE RECEIPT OF:**

Amendment (responsive to the Office Action dated May 23, 2001);  
Extension of time (original and one copy); Amendment transmittal  
(original and one copy); Bracketed and underlined copy of claims as  
amended under 37 CFR § 1.121; a copy of pending claims; a copy of  
red-lined paragraphs of patent application under 37 C.F.R.  
1.121(b)(iii) - SN. 09/661,163 - page 10; Request for personal  
interview; a check in the amount of \$1,412.00; and Certificate of  
Mailing under 37 C.F.R. §1.8(a).

Applicant: Steven A. Weiss  
Serial No.: 09/661,163  
Filed: September 13, 2000  
For: Gaming Device and Method

Docket No.: 30083-pa

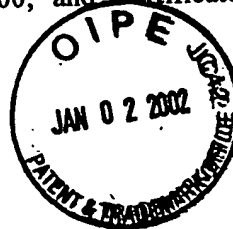
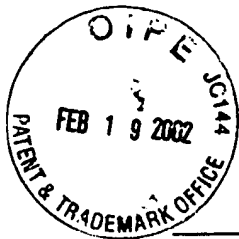


EXHIBIT A



#11/ Letter in  
re  
Drawings  
3/9/02  
COPY OF PAPERS  
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Weiss, Steven A.  
**SERIAL NO.:** 09/661,163  
**FILED:** September 13, 2000  
**FOR:** Gaming Device and Method

**ART UNIT:** 3713

**EXAMINER:** Nguyen, K.

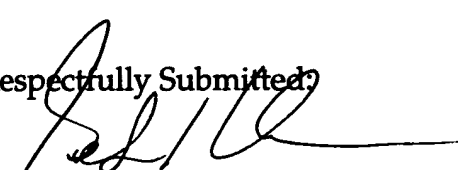
**To:** Assistant Commissioner for Patents  
Box Issue Fee  
Washington, DC 20231

**LETTER TO THE DRAFTSMAN**

Subject to the Examiner's approval, kindly enter the enclosed one (1) sheet of formal drawing figures (comprised of figure 4) in place of drawing figure 4 as originally filed in the above-identified case.

Dated: February 4, 2002

Respectfully Submitted,

  
BERNHARD KRETEN  
Applicant's Attorney  
Telephone (916) 921-6181  
Registration No.: 27,037



COPY OF PAPERS  
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Weiss, Steven A.  
SERIAL NO.: 09/661,163  
FILED: September 13, 2000  
FOR: Gaming Device and Method

ART UNIT: 3713

EXAMINER: Nguyen, K.

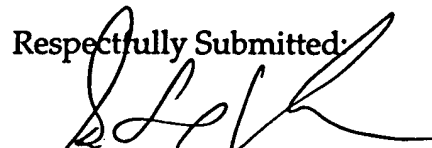
To: Commissioner for Patents  
Washington, DC 20231

REQUEST FOR PERSONAL INTERVIEW

Undersigned hereby specifically requests that the Examiner consider and suggest convenient times at which a personal interview with the Examiner may be conducted with undersigned to expedite resolution of this case. At that time any remaining details can be discussed which assures this case is suitable for passage to issue.

Dated: November 21, 2001

Respectfully Submitted:

  
BERNHARD KRETEN  
Applicant's Attorney  
Telephone (916) 921-6181  
Registration No.: 27,037

[Request for Personal Interview]



COPY OF PAPERS  
ORIGINALLY FILED

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

RECEIVED  
MAR - 1 2002  
TC 3100 MAIL ROOM

**Applicant:** Weiss, Steven A.  
**Serial No.:** 09/661,163  
**Filed:** September 13, 2000  
**For:** Gaming Device and Method  
**Paper:**


1. Response to Notice of Non-Compliant Amendment (including Exhibit "A");
2. Complete Copy of the Amendment as filed November 21, 2001;
3. A Letter to the Draftsman;
4. One (1) sheet of formal drawing figure (comprised of figure 4); and
5. A Return Receipt Card.

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on February 4, 2002.

Heidi Hernandez

  
(Signature)

February 4, 2002  
(Date of Signature)



COPY OF PAPERS  
ORIGINALLY FILED

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

Title: Gaming Device and Method

Serial No.: 09/661,163

Filed: September 13, 2000

Applicant: Steven A. Weiss

Paper:

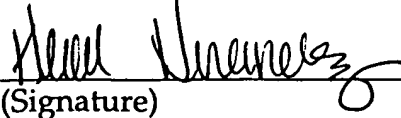
1. Amendment (responsive to the Office Action dated May 23, 2001);
2. Extension of time (original and one copy);
3. Amendment transmittal (original and one copy);
4. Bracketed and underlined copy of claims as amended under 37 CFR § 1.121;
5. A copy of pending claims;
6. A copy of red-lined paragraphs of patent application under 37 C.F.R. 1.121 (b)(1)(iii) - SN. 09/661,163 - Page 10;
7. Request for personal interview;
8. A check in the amount of \$1,412.00, \$920.00 of which reflects the government fee for extension of time, \$72.00 of which reflects the 4 additional dependent claims and \$420.00 of which reflects the 5 additional independent claims; and
9. A Return receipt card.

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on November 21, 2001.

Heidi Hernandez

  
(Signature)

November 21, 2001  
(Date of Signature)



COPY OF PAPERS  
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
MAR - 1 2002  
TC 3100 MAIL ROOM

APPLICANT:	Weiss, Steven A.
SERIAL NO.:	09/661,163
FILED:	September 13, 2000
FOR:	Gaming Device and Method

ART UNIT: 3713

EXAMINER: Nguyen, K.

To: Commissioner for Patents  
Washington, DC 20231

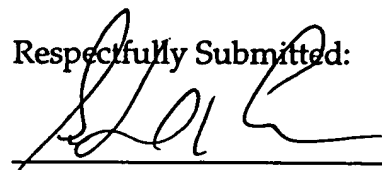
EXTENSION OF TIME

A check in the amount of \$920.00 is enclosed to be received as the extension fee to respond to the Office Action dated May 23, 2001, now due November 23, 2001.

Any added fees due with respect to this document or the amendment filed herewith should be charged to deposit account 11-1734, attorney docket number 30083-pa.

Dated: November 21, 2001

Respectfully Submitted:

  
BERNHARD KRETEN  
Applicant's Attorney  
Telephone: (916) 921-6181  
Registration No.: 27,037